### **From Filters to Hash Tables Rethinking Core Data Structures for Scalable Performance**

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## Scalability challenges ft. Twitter

**Professor Computer** Science and Comp Bio. Johns Hopkins University for one figure

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## Sequence Read Archive (SRA) is growing rapidly

#### SRA contains a lot of biological diversity information



Q: What if I find, e.g., a new disease-related gene, and want to see if it appeared in other experiments?



### Scalability is a critical bottleneck in data science

SRA contains a lot of biological diversity information



This renders what is otherwise an immensely valuable public resource *largely inert*!



### Efficient scaling needs efficient data movement



Gholami, Yao, Kim, Hooper, Mahoney, Keutzer IEEE Micro 2024

# My goal as a researcher is to build scalable data systems with strong theoretical guarantees

#### Three approaches to build scalable data systems





**Compress it** 

Goal: make data smaller to fit inside fast memory

Goal: organize data in a I/O friendly way



#### **Organize it**

**Distribute it** 

Goal: distribute data & reduce inter-node communication



#### Vertically integrated research **COMPRESS ORGANIZE DISTRIBUTE LSM-Mantis Genomic Data Metagenomic BIOINFORMATICS 22** Learning (RDM) **Processing Toolchain** Assembler **IPDPS 23** (Squeakr, deBGR, Mantis, (MetaHipMer\*) IPDPS 21, ACDA 23 **Rainbowfish**) Variation Graph **BIOINFORMATICS 17,18** VariantStore **ISMB 17, RECOMB 18, 19 Genome Biology 21** Cell Systems 18 **Anomaly detection** Graph system **Distributed KV** File System (LERT) (Terrace, BYO) (IONIA) (B<sup>e</sup>trFS)





## Dictionary data structures

- Queries
  - Predecessor/Successor
  - Range queries
  - Membership
- Updates
  - Insertions
  - Deletions



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#### IcebergHT [SIGMOD 2023] Pandey, Bender, Conway, Farch-Colton, Kuszmaul, Tagliavini, Johnson



#### Hash tables are everywhere!

#### Built into many languages...



#### And performance is critical to many applications

#### Built into many software packages...





#### Hash table performance criteria



Hash table performance has a three-way trade off between insertion speed, query speed, and space

## Hash table design mechanism

#### Stability

Items don't move after insertion

#### Low associativity

Map each item to a small number of locations









#### Space efficiency

Minimum overhead from pointers or over provisioning



**Fast queries** 



Achieving all three is a long-standing open problem in hash table design

## For example: linear probing

Stable

• Associativity 
$$\approx \frac{\log N}{(1-\alpha)^2}$$
 ( $\alpha = \text{load f}$ 

• E.g., N = 1Billion,  $\alpha = 95\%$ , associativity = 12000

#### Must choose between low associativity and space efficiency



## For example: cuckoo hashing

- Low associativity: queries must check only 2 cache lines
- Space efficient, load factor > 95%
- But not stable

Insertion performance drops significantly due to excessive kicking at high load factors



## Other hashing schemes:

- Other hashing schemes also lack one or more of these properties
- Chaining: not low associativity
- **Robin hood:** not stable and not low associativity at high load factors
- Hopscotch: not stable

#### **Quadratic probing:** not stable and not low associativity at high load factors

## Single choice hashing (Balls n Bins)



#### **Theorem:** if you throw N balls into N bins, the fullest bin will have $\Theta(\log N/\log \log N)$ balls W.H.P.





## Two choice hashing (Balls n Bins)



#### **Theorem:** if you throw N balls into N bins using minimum of two choices, the fullest bin will have $\Theta(\log \log N)$ balls W.H.P.





#### Two choice hashing provides asymptomatic improvement



### Two choice hashing for hash tables



#### **Theorem:** if you throw N balls into N/log N bins using minimum of two choices, the fullest bin will have $\log N + \log \log N + O(1)$ balls W.H.P.

- By Berenbrink, Czumaj, Steger, Vöcking 2000





### An almost solution: two choice hashing

- 2-choice hashing: hash to two buckets and put item in emptier bucket
- Stable: no kicking
- Low associativity:  $O(\log N)$
- Space efficient: load factor 1 o(1)

**Problem:** it does not hold when we delete items

**Opportunity:** theorem does hold with deletions if average bucket occupancy is O(1)



## Iceberg hashing (Single + Two choice hashing)

- **Iceberg theorem**: if you throw Nballs into  $N/\log N$  bins of size  $\log N + o(\log N)$ , the number of overflow balls will be  $h_0(k)$  $O(N/\log N)$
- Idea: use single-choice front yard to absorb most items
- Backyard has average occupancy of O(1)

**Problem:** buckets in the front yard span many cache lines, so queries must load many cache lines.





### Iceberg hashing: metadata to reduce associativity



**Problem:** buckets in the front yard span many cache lines, so queries must load many cache lines.

**Solution:** store a fingerprint table.



## IcebergHT implementation

- Highly concurrent operations
- IcebergHT supports in-place resizing; reduces peak memory usage
  - Multi-threaded resizes are implemented using distributed reader-writer locks
- Crash safety is trivial
  - Using CLWB; no need for a fence between key & value writes
  - Metadata stays in DRAM and is reconstructed during recovery

### PMEM performance: operation throughput



Performance using 16 threads for PMEM hash tables. Iceberg outperforms state-of-the-art hash tables across all operations.

#### PMEM performance: space efficiency



IcebergHT offers higher space efficier CLHT (chaining) hash tables.

5	Space efficiency
	85%
	69%
	33%

#### IcebergHT offers higher space efficiency compared to Dash (extendible) and

### **DRAM** performance: operation throughput





Performance using 16 threads for DRAM hash tables.

Iceberg outperforms state-of-the-art hash tables for insertions and offers similar performance to CLHT for queries.

IcebergHT deletes are slower.

### DRAM performance: space efficiency



IcebergHT can achieve high space efficiency and maintain insertion throughput. CLHT space efficiency drops quickly. CuckooHT insertion throughput drops at high load factor.



#### **BP-Tree** [VLDB 2023] Xu, Li, Wheatman, Marneni, Pandey

## External memory model for dictionaries

- How computations work [AV88]:
  - Data is transferred in blocks between levels
  - The number of block transfers dominate the running time
- Goal: minimize number of block transfers
  - Performance bounds are parameterized by block size B, memory size M, and data size N



- B/B+-trees [BM72] are ubiquitous:
  - In memory indexing [ZCO+15]
  - Databases [K§
  - Filesystems [F What does B stands for in B-trees?





#### Cost of operations in B-trees

#### Insert Search $O(log_B N/M)$ I/Os

![](_page_33_Figure_2.jpeg)

#### B-trees: trade-off between search and inserts

#### Insert $O(log_B N/M)$ I/Os Search

#### **B-trees are asymptotically optimal for point operations [BF03]**

![](_page_34_Figure_3.jpeg)

![](_page_34_Picture_5.jpeg)

# In this talk: trade-off between point and range operations in in-memory B-trees

## Long range scans are critical in applications

![](_page_36_Picture_1.jpeg)

Real-time analytics [PTPH12]

![](_page_36_Picture_3.jpeg)

Graph processing [DBGS22, PWXB21]

#### Range scan in a B-tree

![](_page_37_Figure_1.jpeg)

#### How to choose the node size?

#### B-trees show a trade-off in point-range operations

![](_page_39_Figure_1.jpeg)

#### Large nodes speed up range scans at the cost of point inserts

![](_page_39_Picture_4.jpeg)

#### Supporting fast range scans without sacrificing point update/query performance is a long-standing open problem in B-tree design

### Our results: BP-tree [VLDB 2023]

![](_page_41_Figure_1.jpeg)

Concurrent C++
implementation

#### TLX B-tree [Bingman18]

Masstree [MKM12]

OpenBW Tree [WPL+18]

Empirical evaluation using YCSB [CST+10] workloads Extended YCSB to include long range scans

#### **Range operations Point operations**

1.3X faster 0.95X — 1.2X faster

- 30X faster 0.94X — 7.4X faster
- 1.2X 1.6X faster

2.5X faster

#### Larger nodes improve range scan performance

Small nodes

![](_page_42_Figure_2.jpeg)

Large nodes

![](_page_42_Figure_4.jpeg)

![](_page_42_Picture_6.jpeg)

### Larger nodes cause overhead to maintain order

![](_page_43_Figure_1.jpeg)

![](_page_43_Figure_2.jpeg)

![](_page_43_Picture_3.jpeg)

### **BP-tree design principles**

![](_page_44_Figure_1.jpeg)

![](_page_44_Picture_2.jpeg)

Affine model for performance [BCF+19]

Large leaf nodes

![](_page_44_Picture_5.jpeg)

Lazy ordering in leaf nodes

#### **BP-tree design**

![](_page_45_Figure_1.jpeg)

#### **BP-tree design**

![](_page_46_Picture_1.jpeg)

**Buffered Partitioned Array:** a special data structure for leaves

![](_page_47_Figure_1.jpeg)

![](_page_48_Figure_1.jpeg)

Insert (22)

![](_page_49_Figure_1.jpeg)

![](_page_49_Figure_2.jpeg)

![](_page_49_Picture_3.jpeg)

#### Blocks

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![](_page_50_Figure_1.jpeg)

Insert (27)

![](_page_50_Picture_3.jpeg)

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![](_page_51_Figure_1.jpeg)

![](_page_51_Figure_2.jpeg)

Blocks

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![](_page_52_Figure_1.jpeg)

![](_page_53_Figure_1.jpeg)

![](_page_54_Figure_1.jpeg)

![](_page_54_Picture_4.jpeg)

![](_page_55_Figure_1.jpeg)

![](_page_55_Figure_2.jpeg)

Blocks

## Performance YCSB workloads

![](_page_56_Figure_1.jpeg)

**BP tree matches on point operations while being 2X faster for range scans** 

### **BP-trees** takeaways

- I/O models (External memory and Affine) apply to in-memory indexes
- Relaxing ordering constraint in leaf nodes can help overcome traditional tradeoffs
- BP-tree supports fast range scans (OLAP) an optimal point updates/ queries (OLTP)

Source code:https://github.com/wheatman/BP-Tree

![](_page_57_Picture_6.jpeg)

![](_page_57_Picture_9.jpeg)

### Ongoing research:

![](_page_58_Picture_1.jpeg)

GPU-accelerated vector databases

![](_page_58_Picture_3.jpeg)

Dynamic/Scalable GPU memory management

![](_page_58_Picture_5.jpeg)

Energy efficient data management

## Concluding remarks

- We need to develop new algorithmic paradigms to better leverage modern hardware
- future data analyses challenges

https://prashantpandey.github.io/

**Acknowledgements:** 

![](_page_59_Picture_5.jpeg)

Data systems backed by strong theoretical guarantees are key to tackle

![](_page_59_Picture_8.jpeg)